

III Semester M.Com. Examination, March/April 2021

(CBCS Scheme)

COMMERCE

Paper – 3.2 FB : Financial Markets

Time : 3 Hours

Max. Marks : 70

SECTION – A

1. Answer **any seven** of the following sub-questions. **Each** sub-question carries **two** marks. (7×2=14)
- What do you mean by book building ?
 - What is Offer For Sale (OFS) ?
 - What do you mean by listing of securities ?
 - Distinguish between ADR and GDR.
 - What is 'Underwriting' ?
 - State the features of OTCEI.
 - State the objectives of NSE.
 - Give the meaning of mark to market.
 - What is insider trading ?
 - How do you differentiate primary and secondary market ?

SECTION – B

Answer **any four** questions. **Each** question carries **five** marks.

(4×5=20)

- What are the functions of primary market ?
- Explain the regulations for insider trading is SEBI.
- Explain the SEBI guidelines for new issue in Primary Market.
- Elucidate the clearing and settlement system in New York stock exchange.
- Explain in detail major players involved in secondary market.
- Write a note on :
 - NASDAQ
 - BSE

P.T.O.



SECTION - C

Answer **any three** of the following questions. **Each** question carries **12** marks.

(3×12=36)

- 8. Briefly explain the SEBI guidelines for investor protection in the capital market.
- 9. Examine the recent trends and regulatory developments in the primary markets in India.
- 10. Explain the listing procedure for a company in National Stock Exchange in India.
- 11. Discuss the issues guidelines of ADR and GDR.
- 12. What are the importance and guidelines of a merchant banker in IPO issue ?